



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

S.T.

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
08/825, 534	03/28/97	YOUNG	J 06998/022001

FISH AND RICHARDSON
601 THIRTEENTH STREET NW
WASHINGTON DC 20005

LM12/0217

EXAMINER

LERNER, M

ART UNIT	PAPER NUMBER
2741	<i>12</i>

DATE MAILED: 02/17/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary	Application No. 08/825,534	Applicant(s) Young et al.
	Examiner Martin Lerner	Group Art Unit 2741

Responsive to communication(s) filed on Jan 22, 1999 .

This action is **FINAL**.

Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire three month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claims

Claim(s) 1-6, 8-25, and 27-30 is/are pending in the application.

Of the above, claim(s) _____ is/are withdrawn from consideration.

Claim(s) _____ is/are allowed.

Claim(s) 1-6, 8-25, and 27-30 is/are rejected.

Claim(s) _____ is/are objected to.

Claims _____ are subject to restriction or election requirement.

Application Papers

See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

The drawing(s) filed on _____ is/are objected to by the Examiner.

The proposed drawing correction, filed on _____ is approved disapproved.

The specification is objected to by the Examiner.

The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

All Some* None of the CERTIFIED copies of the priority documents have been

received.

received in Application No. (Series Code/Serial Number) _____.

received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

*Certified copies not received: _____

Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

Notice of References Cited, PTO-892

Information Disclosure Statement(s), PTO-1449, Paper No(s). _____

Interview Summary, PTO-413

Notice of Draftsperson's Patent Drawing Review, PTO-948

Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

Art Unit: 2741

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1 to 6 and 12 are rejected under 35 U.S.C. 102(b) as being anticipated by *Gould et al.* ('707).

Regarding independent claim 1, *Gould et al.* ('707) discloses:

“performing speech recognition on an utterance to produce a recognition result for the utterance” -- DragonDictate receives an utterance whose best scoring word is a Choice Command (column 12, lines 48 to 54);

“identifying a correction command in the recognition result for the utterance” -- a correction command corresponding to an utterance “Choose-N,” “Scratch-that” is recognized (column 12, lines 55 to 60);

“identifying corrected text from a portion of the recognition result for the utterance” -- an number of backspace keystrokes are erased to correct the text (column 12, lines 60 to 68);

“wherein the correction command indicates that the portion of the recognition results comprises a pronunciation of a word to be corrected” -- if a user then says an utterance other than a command, i.e. an utterance for training, the systems enters a Confirmed Training Only Routine

Art Unit: 2741

where a new entry in the Oops Buffer is used to update word and language models (column 14, lines 14 to 50).

Regarding claim 2, *Gould et al. ('707)* discloses “replacing previously-generated incorrect text with corrected text” -- the most recent utterance in the Oops Buffer is corrected by means of the Choice Commands (column 13, lines 11 to 15).

Regarding claim 3, *Gould et al. ('707)* discloses “wherein the step of identifying corrected text includes searching a dictionary using the portion of the recognition results” -- the vocabulary file (“.VOC file”) and the user file (“.USR file”) are implicitly used to as dictionaries representing phonetic spellings to identify an utterance (column 10, lines 17 to 24 and column 10, line 64 to column 11, line 2).

Regarding claim 4, *Gould et al. ('707)* discloses “wherein the step of identifying corrected text comprises identifying corrected text from a portion of the recognition result for the utterance and from a recognition result for a second utterance” -- the “Left-1” and “Right-1” commands move the word in the Oops Buffer left or right by one word so that this word may be corrected (column 14, lines 5 to 9).

Regarding claim 5, *Gould et al. ('707)* discloses “wherein the second utterance precedes the utterance” -- the “Left-1” command moves the Oops buffer to a preceding utterance.

Regarding claim 6, *Gould et al. ('707)* discloses “wherein the second utterance follows the utterance” -- the “Right-1” command moves the Oops buffer to a following utterance.

Art Unit: 2741

Regarding claim 12, *Gould et al.* ('707) discloses "automatically selecting the previously-generated incorrect text to be replaced" -- text to be corrected is automatically highlighted (Figures 36 to 63).

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 8 to 11 and 13 to 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over *Gould et al.* ('707) in view of *Roberts et al.*

Concerning claim 8, *Gould et al.* ('707) does not disclose identifying corrected text using "confused pronunciation matching." However, *Roberts et al.* uses a phonetic dictionary 500a, where each of the word entries is associated with a phonetic spelling using acoustic node models (column 18, lines 43 to column 19, line 13 and Figure 8) for the purpose of improving performance of speech recognition by taking into account preceding and following phonemes. The phonetic dictionary 500a of *Roberts et al.* is used with correction commands (column 21, lines 41 to 56) and performs "confused pronunciation matching." *Gould et al.* ('707) and *Roberts et al.* belong to the same field of endeavor. It would have been obvious to one of ordinary skill in the art to use a phonetic dictionary to perform "confused pronunciation

Art Unit: 2741

matching" as taught by *Roberts et al.* for the purpose of improving recognition results in a correction mode.

Concerning claim 9, the phonetic dictionary 500a of *Roberts et al.* is "confused pronunciation dictionary."

Concerning claim 10, the phonetic dictionary 500a of *Roberts et al.* is used to search for confused pronunciation matches.

Concerning claim 11, the phonetic dictionary 500a of *Roberts et al.* is constructed with acoustic node models, or as a "phonetic tree" (column 18, lines 60 to 65 and Figure 8).

Concerning claim 13, *Roberts et al.* teaches "re-recognition" of corrected text during the correction process (step 272).

Concerning claim 14, *Roberts et al.* generates a list of words corresponding to the entries in phonetic dictionary 500a for text to be corrected in correction mode (column 21, lines 41 to 56 and Figures 10 to 24).

Concerning claim 15, *Roberts et al.* teaches "re-recognition" of corrected text during the correction process (step 272) from a restricted phonetic vocabulary (column 21, lines 53 to 56).

Concerning claim 16, *Roberts et al.* displays a list of words corresponding to the entries in phonetic dictionary 500a for a user to select with a correction command (column 21, lines 41 to 56 and Figures 10 to 24).

Art Unit: 2741

Concerning claim 17, *Roberts et al.* discloses spelling commands “starts alpha,” “starts beta,” etc. indicating a portion of a recognition result to be corrected (column 19, line 57 to column 20, line 19).

Concerning claim 18, dictionary 500 of *Roberts et al.* consists of an alphabetical listing of word spellings (column 18, lines 46 to 51). Dictionary 500 is used to perform “confused spelling matching” in a correction mode (column 20, lines 52 to 62).

Concerning claim 19, backup dictionary 500 of *Roberts et al.* is a “confused spelling dictionary” (column 20, lines 52 to 62).

Concerning claim 20, backup dictionary 500 of *Roberts et al.* is a “confused spelling dictionary” that is searched during correction (column 20, lines 52 to 62).

Concerning claim 21, *Roberts et al.* generates a list of words corresponding to the entries in spelling dictionary 500 for a user to select with a correction command (Figures 10 to 24).

Concerning claim 22, *Roberts et al.* teaches “re-recognition” of corrected text during the correction process from backup dictionary 500 (column 21, lines 5 to 16).

Concerning claim 23, *Roberts et al.* displays a list of words corresponding to the entries in spelling dictionary 500 for a user to select with a correction command (Figures 10 to 24).

Concerning claim 24, *Roberts et al.* discloses:

“using an active vocabulary when performing speech recognition” -- TEXTMODE and EDITMODE use different active vocabularies (column 8, lines 51 to 54);

Art Unit: 2741

“using a backup dictionary when identifying the corrected text” -- a backup dictionary is used in EDITMODE (column 20, lines 52 to 62);

“if the active vocabulary does not contain the corrected text, adding the corrected text to the active vocabulary” -- a new word is added to the vocabulary through a Definition Window (column 20, line 63 to column 21, line 4).

5. Claims 25 and 27 to 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over *Roberts et al.* in view of *Junqua*.

Concerning claim 25, *Roberts et al.* discloses:

“performing speech recognition on an utterance to produce recognition results” -- a dictation program detects speech inputted by a user corresponding to a letter command (column 19, lines 46 to 56);

“identifying a spelling command in the recognition results, wherein the spelling command indicates that a portion of the utterance comprises a spelling” -- spelling commands “starts alpha,” “starts beta,” etc., of portions of an utterance are identified (column 19, line 57 to column 20, line 6); and

“producing the spelling by searching a dictionary using the recognition results” -- spellings are searched through a limited vocabulary dictionary (column 20, lines 44 to 51).

Roberts et al. suggests that spelling may be confusingly similar, i.e. “confused spelling matching” (column 20, line 18), but does not expressly disclose “commonly-confused letters are

Art Unit: 2741

treated as a single letter to identify the spelling corresponding to the portion of the utterance.” However, *Junqua* teaches confused spelling based upon how confusable particular letters are with respect to one another, e.g. m and n, p and t, or b and d. See column 6, lines 26 to 67. It would have been obvious to one of ordinary skill in the art to use state tying of confusable letters as taught by *Junqua* for the purpose of improving recognition accuracy by pruning the number of paths during a beam search.

Concerning claim 27, dictionary 500 of *Roberts et al.* consists of an alphabetical listing of word spellings, or “confused spelling dictionary” (column 18, lines 46 to 51). Dictionary 500 is used to perform “confused spelling matching” in a correction mode (column 20, lines 52 to 62).

Concerning claim 28, dictionary 500 of *Roberts et al.* consists of an alphabetical listing of word spellings (column 18, lines 46 to 51). Dictionary 500 is used to perform “confused spelling matching” in a correction mode (column 20, lines 52 to 62).

Concerning claim 29, *Roberts et al.* generates a list of words corresponding to the entries in spelling dictionary 500 for a user to select with a correction command (Figures 10 to 24).

Concerning claim 30, *Roberts et al.* displays a list of words corresponding to the entries in spelling dictionary 500 for a user to select with a correction command (Figures 10 to 24).

Art Unit: 2741

Conclusion

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Damerau et al. discloses related art.

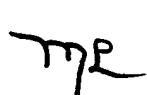
7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ***Martin Lerner*** whose telephone number is (703) ***308-9064***.

The fax phone number for the organization where this application or proceeding is assigned is (703) 305-9508.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-4800.



DAVID R. HUDSPETH
SUPERVISORY PATENT EXAMINER
GROUP 2700



ml

February 8, 1999